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PTO/SB/21 (09-04)

# TRANSMITTAL FORM

Application Number	10/696,663
Filing Date	October 28, 2003
First Named Inventor	Katsuhiro Uchiumi
Art Unit	2186
Examiner Name	Unassigned
Attorney Docket Number	16869K-099200US
(to be used for all correspondence after initial filing)	
Total Number of Pages in This Submission	

## ENCLOSURES (Check all that apply)

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<div>Remarks</div> <div>The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.</div>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Townsend and Townsend and Crew LLP		
Signature			
Printed name	Chun-Pok Leung		
Date	2-18-05	Reg. No.	41,405

## CERTIFICATE OF TRANSMISSION/MAILING

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Signature			
Typed or printed name	Roger Hylton	Date	2/15/05



PATENT  
Attorney Docket No.: 16869K-099200US  
Client Reference No.: 698/SM/mt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Katsuhiro UCHIUMI et al.

Application No.: 10/696,663

Filed: October 28, 2003

For: CONTROL APPARATUS OF  
STORAGE UNIT, AND METHOD OF  
CONTROLLING THE CONTROL  
APPARATUS OF STORAGE UNIT

Examiner: Unassigned

Art Unit: 2186

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. All cited references are USPTO patent and patent application publications. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the

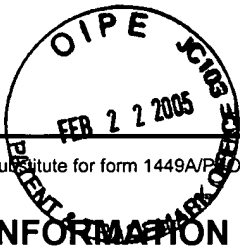
undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Chun-Pok Leung  
Reg. No. 41,405

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60419850 v1



Substitute for form 1449A/PSO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

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Attorney Docket Number	16869K-099200US

**U.S. PATENT DOCUMENTS+**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	1	US-2004/0123028	06-24-2004	Kanai et al.	
	2	US-2003/0229764	12-11-2003	Ohno et al.	
	3	US- 6,643,750	11-04-2003	Achiwa et al.	
	4	US-6,289,398	09-11-2001	Stallmo et al.	
	5	US-4,747,047	05-24-1988	Coogan et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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